



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

QUIGLEY *et al.*

Appl. No.: 09/710,238

Filed: November 9, 2000

For: **Pre-Equalization Technique For
Upstream Communication Between
Cable Modem And Headend**

Confirmation No.: 4904

Art Unit: 2667

Examiner: Boakye, A.

Atty. Docket: 1875.132000G (as amended)

Request for Corrected Official Filing Receipt

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Attn: Office of Initial Patent
Examination's Filing
Receipt Corrections

Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued and sent to the undersigned representative. Specifically, the following corrections to the Official Filing Receipt are requested:

Under the "Domestic Priority Data As Claimed By Applicant" heading, please delete the text that is shown with a strikethrough and insert the text that is offset by "-- --" as shown:

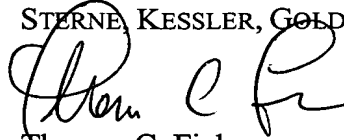
THIS APPLICATION IS A CON OF 09/574,558 05/19/2000
-- WHICH IS A CIP OF 09/430,821 10/29/1999 --
-- WHICH -- ~~AND~~ CLAIMS BENEFIT OF 60/106,264 10/30/1998
-- WHICH -- ~~AND~~ CLAIMS BENEFIT OF 60/106,427 10/30/1998
-- WHICH -- ~~AND~~ CLAIMS BENEFIT OF 60/106,438 10/30/1998
-- WHICH -- ~~AND~~ CLAIMS BENEFIT OF 60/106,439 10/30/1998
-- WHICH -- ~~AND~~ CLAIMS BENEFIT OF 60/106,440 10/30/1998
-- WHICH -- ~~AND~~ CLAIMS BENEFIT OF 60/106,441 10/30/1998

In support of the above request, a photocopy of the instant Official Filing Receipt is enclosed with the corrections noted in red.

It is requested that a corrected Official Filing Receipt be issued, and sent to the undersigned at the earliest possible time.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

A handwritten signature in black ink, appearing to read 'Thomas C. Fiala', written over the firm name.

Thomas C. Fiala
Attorney for Applicants
Registration No. 43,610

Date: 11/12/04

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600

333891_1.DOC

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/710,238	11/09/2000	2152	942	34690/LTR/B600	82	24	5

CONFIRMATION NO. 4904

23363
CHRISTIE, PARKER & HALE, LLP
350 WEST COLORADO BOULEVARD
SUITE 500
PASADENA, CA 91105

FILING RECEIPT



OC000000006137159

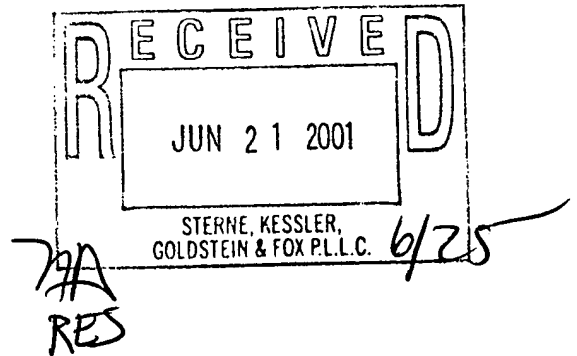
B600: 34690
CASE # 34690 ACTION Trans Out 4/26/01
REMINDER _____ DUE DATE _____
DEADLINE _____

Date Mailed: 06/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas J. Quigley, Lawrenceville, GA;
Jonathan S. Min, Buena Park, CA;
Lisa V. Denney, Suwanee, GA;
Henry Samuelli, Corona Del Mar, CA;
Sean F. Nazareth, Anaheim Hills, CA;
Feng Chen, Irvine, CA;
Fang Lu, Irvine, CA;
Christopher R. Jones, Newport Beach, CA;



Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/574,558 05/19/2000
WHICH AND CLAIMS BENEFIT OF 60/106,264 10/30/1998
WHICH AND CLAIMS BENEFIT OF 60/106,427 10/30/1998
WHICH AND CLAIMS BENEFIT OF 60/106,438 10/30/1998
WHICH AND CLAIMS BENEFIT OF 60/106,439 10/30/1998
WHICH AND CLAIMS BENEFIT OF 60/106,440 10/30/1998
WHICH AND CLAIMS BENEFIT OF 60/106,441 10/30/1998

← WHICH IS A CIP OF 09/430,821 10/29/1999

Foreign Applications

If Required, Foreign Filing License Granted 05/02/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Pre-equalization technique for upstream communication between cable modem and headend

Preliminary Class

709

Data entry by : SAHLE, ALMAZ

Team : OIPE

Date: 06/01/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231